

VT Portfolio Solutions ICVC

Application Form for the Purchase of Shares

THIS FORM DULY COMPLETED SHOULD BE SENT TO:

Valu-Trac Administration Services, Orton, Fochabers, Moray IV32 7QE
Tel: 01343 880344, Fax: 01343 880267, Email: elston@valu-trac.com

If sent by email or fax please confirm to Valu-Trac Administration Services by telephone immediately and forward the original application form to the address above

PURCHASE APPLICATION

I/We, the undersigned, having received and read a copy of the Prospectus for the VT Portfolio Solutions ICVC ("the Company") dated 26 November 2021 (together with any addendums or supplements thereto) and the Key Investor Information Document, hereby apply for such number of Shares in the Company as may be purchased with the amounts indicated below at the subscription price determined in accordance with the Prospectus:

VT ELSTON LIQUID REAL ASSETS INDEX FUND

Share Class: Class A Accumulation

Lump Sum Payment:

£

Regular Payments – Direct Debit Mandate required (final Page)

£ total per month

VT ELSTON MULTI-ASSET INCOME FUND

Share Class: Class A Accumulation

☐

Class A Income

☐

Lump Sum Payment:

£

Regular Payments – Direct Debit Mandate required (final Page)

£ total per month

DETAILS OF APPLICANT(S)**FIRST HOLDER**

Company/Nominee Name	<input type="text"/>
or Title	<input type="text"/>
Surname	<input type="text"/>
Forenames	<input type="text"/>
Address	<input type="text"/>
	<input type="text"/>
Postcode	<input type="text"/>
Country	<input type="text"/>
Telephone	<input type="text"/>
Email	<input type="text"/>

JOINT HOLDER(S)

Title & Full Name	<input type="text"/>
Title & Full Name	<input type="text"/>
Title & Full Name	<input type="text"/>

Mailing Address (if different from the address of the First Holder)

Title & Full Name	<input type="text"/>
Address	<input type="text"/>
Address	<input type="text"/>

BANK DETAILS OF APPLICANT

Name of Bank	<input type="text"/>
Address	<input type="text"/>
	<input type="text"/>
Account Name	<input type="text"/>
Account Number	<input type="text"/>
Bank Sort Code	<input type="text"/>
or Bank Swift Address	<input type="text"/>
or Bank ABA Number	<input type="text"/>

Distributions (if applicable) will be paid to the bank account above

DATA PROTECTION

For full information on how VT processes personal information and what your rights are, please see our Privacy Policy online at www.valu-trac.com.

FATCA DECLARATION OF U.S. CITIZENSHIP OR U.S. RESIDENCE FOR TAX PURPOSES

Please tick either (a) **or** (b) and complete as appropriate.

- ☐ a) I confirm that **I am not** a U.S. citizen and/or resident in the U.S. for tax purposes.
- ☐ b) I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

CRS DECLARATION OF TAX RESIDENCY

Please indicate all countries in which you are resident for tax purposes and the associated Taxpayer Identification Number(s) in the below. Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency

Tax ID Number (UK Individuals should use their UK National Insurance Number)

PAYMENT METHOD – PAYMENT IS BY WIRE TRANSFER ONLY

Funds should be wired for value on the settlement date of this transaction which will have been agreed in advance with Valu-Trac Administration Services and which will be stated on the Contract Note issued to you by Valu-Trac Administration Services. If funds are received on any date other than this agreed settlement date they may be returned by the Bank.

AUTHORISED SIGNATORIES

This application is authorised by the following who is/are person(s) authorised to give all instructions and to take all actions on my/our behalf in connection with any Shares held by me/us in the VT Portfolio Solutions ICVC.

Name of Authorised Person(s)

Signature of Authorised Person(s)

Date

Any One to sign ☐

Any Two to sign ☐

Separate list attached ☐

Note: If the authorised signatory listing is a separate document forwarded with the original application, this listing needs to be accompanied by an original or certified copy of the company's mandate which approves the signatory listing.

If you have any questions please contact Valu-Trac Administration Services on 01343 880344 or elston@valu-trac.com.

ANTI-MONEY LAUNDERING REQUIREMENTS

PLEASE PROVIDE THE FOLLOWING INFORMATION TO VALU-TRAC ADMINISTRATION SERVICES

CORPORATE ENTITY

Original or certified copy of certificate of incorporation showing existence and legality of company;
Certified copy of Memorandum and Articles of Association;
List of directors names, occupations, residential and business addresses and dates of birth;
Certified copy of authorised signatory list, including specimen signatures;
Certified minutes (resolution) or other properly authorised mandate authorising the investment to be made;

AND For a private company, please also provide:

For at least two directors: (unless the company has only one director)
Certified* copy of passport including a clear reproduction of the photograph of the individual concerned; AND
Two of the following:
Original utility bill (not older than 3 months)
Original bank statement (not older than 3 months)
Original of any other documentation issued by a government agency, showing the residential address

AND

List of the names and addresses of shareholders holding more than 10% or more of the issued share capital of the company.

TRUSTS

Relevant extract of the Trust Deed which shows the power to invest;
Certified copy of authorised signatory list of the Trustee, including specimen signatures;
Certified minute (resolution) or other properly authorised mandate authorising the investment to be made;

AND For a private trust, please also provide:

Confirmation from the Trustee of the identity of the settlor and the beneficiaries, by satisfying for each party the requirements as set out under 'Individuals' below.

INDIVIDUALS

Certified* copy of passport or drivers' licence;
Two original/certified* true copies of utility bills (not older than 3 months and with the same address as that on the application form).

Please note that for joint applicants, documentation in respect of each applicant is required.

DESIGNATED BODY WITHIN A FINANCIAL ACTION TASK FORCE JURISDICTION

Written confirmation on your headed paper that you are a designated body;
The name of the relevant regulatory authority by which you are regulated.

*** Your document must be certified by a professional person or someone of good standing in your community.** For instance, you could ask a FCA-registered individual, a lawyer or solicitor, a chartered accountant, a bank official, a teacher, a doctor, a dentist, or a nurse. They cannot be a family member, living at the same address or in a relationship with you. They also cannot be a trainee in their profession. The certifier must:

- write "Certified by me to be a true copy of the original seen by me" on the document
- sign and date the document
- print their name under their signature
- add their occupation and address and telephone number

DIRECT DEBIT MANDATE – FOR REGULAR PAYMENTS

If a Regular Investment is to be set up, please indicate this on the application form and complete this page

DIRECT DEBIT INSTRUCTIONS

- Please use the Direct Debit form below to set up regular payments from the same bank account.
- Please note that any subsequent changes must be received by Valu-Trac at least eight working days before the next collection date.
- Your branch sort code can be found on the top right hand corner of your cheque book or bottom left hand corner of your debit card.
- Most account numbers are eight characters in length.
- Cut off and keep the Direct Debit Guarantee and return the rest of the form to us at the address shown.

ADVISER'S STAMP AND AGENT CODE (IF KNOWN)

RETURN TO:
Valu-Trac Administration Services
Orton, Fochabers, Moray
IV32 7QE

INSTRUCTION TO YOUR BANK OF BUILDING SOCIETY TO PAY BY DIRECT DEBIT



APPLICANT

Name(s) of Account Holders		Instructions to your Bank or Building Society Please pay Valu-Trac Investment Management Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Valu-Trac Investment Management Limited and, if so, details will be passed electronically to my Bank/Building Society.	
Bank or Building Society Account Number		Signature(s)	
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			
Branch Sort Code		Date	
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			
Name and full postal address of your Bank or Building Society		Service User Number	
		Reference (for office use only)	
Postcode			

Banks and Building Societies may not accept Direct Debit Instructions for some types of account

This guarantee should be detached and retained by the payer

THE DIRECT DEBIT GUARANTEE



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Valu-Trac Investment Management Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Valu-Trac Investment Management Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Valu-Trac Investment Management Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Valu-Trac Investment Management Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.